

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Mark ROSE	§	Confirmation No.:	5899
		§		
Serial No.:	09/809,584	§	Group Art Unit:	3628
		§		
Filed:	March 15, 2001	§	Examiner:	H.T. Dass
		§		
For:	Online Trading System	§	Attorney Docket No.:	1991-00200
	Having Ally-Initiated	§		
	Trading	§		

**RESPONSE TO FINAL OFFICE ACTION OF SEPTEMBER 22, 2005**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 23313-1450

December 30, 2006

Dear Sir:

This paper is submitted in response to the above-named office action to highlight why the application is in condition for allowance. In response to the Final Office Action of September 22, 2005, please reconsider the rejections in light of the following remarks:

**A listing of the Claims begin on page 2.**

**Remarks begin on page 6.**